

## Generalizations of BRST symmetry

We have an action  $I[\phi]$  and measure  $[d\phi] = \prod_r d\phi^r$  that are invariant under the generalized symmetry

$$\phi^r \rightarrow \phi^r + \varepsilon^A \delta_A \phi^r \quad (1)$$

We are using "De Witt" notation:  $r$  and  $A$  include spacetime coordinates as well as discrete labels

Example:

Consider the gauge transformation

$$\delta A_{\mu}^{\beta} = \partial_{\mu} \varepsilon^{\beta} + C_{\gamma\alpha}^{\beta} \varepsilon^{\alpha} A_{\mu}^{\gamma} \quad (2)$$

→ we have  $r = (\mu, \alpha, x)$  so  $\phi^{\mu\alpha x} \equiv A_{\mu}^{\alpha}(x)$

and  $A = (\alpha, x)$  so  $\varepsilon^{\alpha x} \equiv \varepsilon^{\alpha}(x)$  in the notation (1)

→ (2) becomes

$$\delta_{\beta\gamma} \phi^{\mu\alpha x} = \delta_{\alpha}^{\beta} \frac{\partial}{\partial x^{\mu}} \delta^4(x-\gamma) + C_{\gamma\alpha}^{\beta} \phi^{\mu\gamma x} \delta^4(x-\gamma)$$

check:

$$\begin{aligned} \varepsilon^A \delta_A \phi^r &= \int d\gamma \varepsilon^{\alpha}(\gamma) \delta_{\alpha}^{\beta} \frac{\partial}{\partial x^{\mu}} \delta^4(x-\gamma) + \varepsilon^{\alpha}(\gamma) C_{\gamma\alpha}^{\beta} \phi^{\mu\gamma x} \delta^4(x-\gamma) \\ &= - \frac{\partial}{\partial x^{\mu}} \delta^4(x-\gamma) \end{aligned}$$

$$= \partial_{\mu} \varepsilon^{\beta} + \varepsilon^{\alpha}(x) A_{\mu}^{\gamma}(x) C_{\gamma\alpha}^{\beta} \quad \checkmark$$

Generalized BRST symmetry can be derived

from general Faddeev-Popov-De Witt theorem:

$$\frac{C}{\Omega} \int [d\phi] e^{iI[\phi]} V[\phi] = \int [d\phi] e^{iI[\phi]} \mathcal{B}[f[\phi]] \text{Det}(\delta_A f_B[\phi]) V[\phi] \quad (3)$$

where  $V[\phi]$  is arbitrary functional of  $\phi^r$  invariant under the symmetry (1),

$f_A[\phi]$ : gauge fixing functionals

$\mathcal{B}[f]$ : arbitrary functional of the  $f_a$

$\Omega$  : volume of the gauge group

$$C \equiv \int [df] \mathcal{B}[f]$$

→ equation (3) tells us that integral on right-hand side is independent from choice of gauge-fixing functionals  $f_A$

Now express  $\mathcal{B}[f]$  as a Fourier transform

$$\mathcal{B}[f] = \int [dh] \exp(ih^A f_A) \mathcal{B}[h],$$

where  $[dh] \equiv \prod_A dh^A$ . Furthermore,

$$\text{Det}(\delta_A f_B[\phi]) \sim \int [d\omega^*] [d\omega] \exp(i\omega^{*B} \omega^A \delta_A f_B),$$

where  $[d\omega^*] \equiv \prod_A d\omega^{*A}$  and  $[d\omega] \equiv \prod_A d\omega^A$

$$\rightarrow \int [d\phi] \exp(iI[\phi]) \mathcal{B}[f[\phi]] \text{Det}(\delta_A f_B[\phi]) V[\phi]$$

$$\sim \int [d\phi] [dh] [d\omega^*] [d\omega] \exp(iI_{\text{NEW}}[\phi, h, \omega, \omega^*]) \mathcal{B}[h] V[\phi]$$

where  $I_{NEW}[\phi, h, \omega, \omega^*] = I[\phi] + h^A f_A[\phi] + \omega^B \omega^A \delta_A \frac{\delta}{\delta \omega^B} I[\phi]$  (3)

Remark:

ghost fields are compensation for integrating over too many degrees of freedom (gauge d.o.f.)

ghosts are fermions  $\rightarrow$  loops carry minus sign  
 $\rightarrow$  cancel contribution of gauge equivalent  $\phi$ 's

$I_{NEW}$  has an extra symmetry under BRST trfs.

$$X \mapsto X + \theta s X \quad (4)$$

where  $X$  is any of the  $\phi^r, \omega^A, \omega^{A*},$  or  $h^A$ ;  $\theta$  is an infinitesimal anti-commuting c-number; and  $s$  is the "Slavnov operator":

$$s = \omega^A \delta_A \phi^r \frac{\delta_L}{\delta \phi^r} - \frac{1}{2} \omega^B \omega^C f_{BC}^A \frac{\delta_L}{\delta \omega^A} - h^A \frac{\delta_L}{\delta \omega^{*A}}$$

Superscript  $L$  denotes left differentiation:

$$sF = sXG \rightarrow \delta_L F / \delta X = G$$

and  $f_{BC}^A$  is the structure constant

$$\text{appearing in } [\delta_B, \delta_C] = f_{BC}^A \delta_A \quad (*)$$

$f_{BC}^A$  are field independent in non-abelian gauge theories and string theories, but more general situation  $f_{BC}^A[\phi]$  possible.

We compute

$$s^2 = \frac{1}{2} \omega^A \omega^B \left[ \underbrace{\delta_A \phi^S \frac{\delta_L(\delta_B \phi^r)}{\delta \phi^S} - \delta_B \phi^S \frac{\delta_L(\delta_A \phi^r)}{\delta \phi^S}}_{=0 \text{ by } (*)} - f_{AB}^C \delta_C \phi^r \right] \frac{\delta_L}{\delta \phi^r}$$

$$- \frac{1}{2} \omega^B \omega^C \omega^D \left[ f_{BC}^E f_{DE}^A + \delta_{ED} \phi^r \frac{\delta_L f_{BC}^A}{\delta \phi^r} \right] \frac{\delta_L}{\delta \omega^A}$$

→ consistency condition for vanishing of  $s^2$ :

$$f_{BC}^E f_{DE}^A + \delta_{ED} \phi^r \left( \frac{\delta_L f_{BC}^A}{\delta \phi^r} \right) = 0$$

→ equivalent to Jacobi identity for field independent  $f_{BC}^A$ .

Let's check that (4) is a symmetry of (3):

rewrite (3) as

$$I_{\text{NEW}}[\phi, h, \omega, \omega^*] = I[\phi] - s(\omega^{*A} f_A)$$

$I[\phi]$  is BRST invariant as in the fields  $\phi^r$  a BRST transformation is just a gauge trf. with  $\xi^A = \Theta \omega^A$ !

$$\text{Also } s(s(\omega^{*A} f_A)) = ss(\omega^{*A} f_A) = 0$$

Most general BRST-invariant functional:

$$I_{\text{NEW}}[\phi, \omega, \omega^*, h] = I_0[\phi] + s\Psi[\phi, \omega, \omega^*, h]$$

Proof:

a) Note that BRST-trf. does not change total number of  $h^A$  and  $\omega^{*A}$  fields

→ expand  $I = \sum_N I_N$   
↑  
contains definite # of  $h^A$  and  $\omega^{*A}$  fields

and we have  $sI_N = 0$  (\*\*)

b) introduce "Hodge operator":

$$t \equiv \omega^{*A} \frac{\delta}{\delta h^A}$$

Then we have

$$\begin{aligned} [s, t]_+ &= st + ts = s\left(\omega^{*A} \frac{\delta}{\delta h^A}\right) - t\left(h^A \frac{\delta}{\delta \omega^{*A}}\right) \\ &= -h^A \frac{\delta}{\delta h^A} - \omega^{*A} \frac{\delta}{\delta \omega^{*A}} \end{aligned}$$

(\*\*)

$$\Rightarrow st I_N = -N I_N$$

Thus each  $I_N$  except for  $I_0$  is BRST-exact.

$$\rightarrow I = I_0 + s\bar{\Psi}$$

$$\text{where } \bar{\Psi} = - \sum_{N=1}^{\infty} \frac{t I_N}{N}$$

$I_0$  is independent of  $\omega^{*A}$ ,  $h^A$ , and  $\omega^A$  (ghost # = 0)  $\square$

Invariance of physical matrix elements :

Define "charge"  $Q$  by

$$\delta_\theta \Phi = i [\theta Q, \Phi] = i\theta [Q, \Phi]_{\mp}$$

with  $[x, y]_{\mp} \equiv xy \mp yx$  according to as  $\Phi$  is bosonic or fermionic.

nilpotence  $\rightarrow Q^2 = 0$

Matrix elements will be independent of choice of  $\Psi$  iff  $Q|\alpha\rangle = \langle\beta|Q = 0$

$\rightarrow \{\text{physical states}\}$

$= \{\text{elements of } Q\text{-cohomology}\}$

general recipe : find some  $\Psi$  (like axial gauge), in which the ghosts do not interact with other fields  $\rightarrow$  from there choose any  $\Psi$  convenient for computation. Then ghost-free initial and final states